

Comparison: NC Innovations and CAP-MR/DD Waivers

In the transition from the CAP MR/DD to the Innovations Waiver the current approved PCP for each participant of the Comprehensive and Supports (CAP MR/DD) waiver will continue to be used until the next annual Individual Support Plan (ISP) development at the participant's birth month. All services currently used under the CAP waiver (or an equivalent service) are available in the NC Innovations waiver

NC Innovations	CAP-MR/DD
<ul style="list-style-type: none"> • Assistive Technology Equipment and Supplies • Community Guide Services • Community Networking Services • Community Transition Services • Crisis Services • Day Supports • Financial Support Services • Home Modifications • In-Home Skill Building • Personal Care • In-Home Intensive Support 	<ul style="list-style-type: none"> • Adult Day Health • Augmentative Communication (<i>Removed from Waiver effective 11/1/11</i>) • Behavior Consultation • Crisis Respite • Crisis Services • Day Supports • Home and Community Supports • Home Modifications • Home Supports (<i>Comprehensive only. Removed from Waiver effective 11/1/11</i>)
<ul style="list-style-type: none"> • Individual Goods and Services • Natural Supports Education • Residential Supports • Respite • Specialized Consultation Services • Supported Employment • Vehicle Modifications 	<ul style="list-style-type: none"> • Individual Caregiver Training and Education • Individual Goods and Services (<i>Supports only. Added to Comprehensive 11/1/11</i>) • Long Term Vocational Supports • Personal Care • Personal Emergency Response System • Residential Supports (<i>Comprehensive Only</i>) • Respite • Specialized Consultative Services • Specialized Equipment and Supplies • Supported Employment • Transportation • Vehicle Adaptations

Service/Support Name Comparison

NC Innovations	CAP-MR/DD
<ul style="list-style-type: none"> Assistive Technology Equipment and Supplies 	<ul style="list-style-type: none"> Personal Emergency Response System Specialized Equipment and Supplies
<ul style="list-style-type: none"> Augmentative Communication not available in waiver; offered in State Plan 	<ul style="list-style-type: none"> Augmentative Communication (will be removed from the waiver effective 10/31/11).
<ul style="list-style-type: none"> Community Guide Services 	<ul style="list-style-type: none"> Targeted Case Management (via the State Medicaid Plan) Community Resource Consultant <i>(Supports Only for individuals who self-direct. Available 11/1/11 in Comprehensive for individuals who self-direct. This is a service that is provided through administrative funding.)</i>
<ul style="list-style-type: none"> Community Networking Services 	<ul style="list-style-type: none"> Home and Community Supports
<ul style="list-style-type: none"> Community Transition Services 	<ul style="list-style-type: none"> Available 11/1/11
<ul style="list-style-type: none"> Crisis Services 	<ul style="list-style-type: none"> Crisis Services Behavior Consultation Crisis Respite
<ul style="list-style-type: none"> Day Supports 	<ul style="list-style-type: none"> Adult Day Health Day Supports
<ul style="list-style-type: none"> Financial Support Services 	<ul style="list-style-type: none"> In self-directed services <i>(Supports waiver only for individuals who self-direct. Available 11/1/11 in Comprehensive for individuals who self-direct This is a service that is provided through administrative funding.)</i>

Service/Support Name Comparison (cont.)

NC Innovations	CAP-MR/DD
<ul style="list-style-type: none"> • Home Modifications 	<ul style="list-style-type: none"> • Home Modifications
<ul style="list-style-type: none"> • In-Home Skill Building 	<ul style="list-style-type: none"> • Home and Community Supports • Home Supports (<i>Comprehensive only. Removed from waiver 10/31/11</i>)
<ul style="list-style-type: none"> • Personal Care 	<ul style="list-style-type: none"> • Home Supports (<i>Comprehensive only. Removed from waiver 10/31/11</i>) • Personal Care
<ul style="list-style-type: none"> • In-Home Intensive Support 	<ul style="list-style-type: none"> • Home and Community Supports • Home Supports (<i>Comprehensive only. Removed from waiver 10/31/11</i>)
<ul style="list-style-type: none"> • Individual Goods and Services 	<ul style="list-style-type: none"> • Individual Goods and Services (<i>Supports only. Available in Comprehensive 11/1/11</i>)
<ul style="list-style-type: none"> • Natural Supports Education 	<ul style="list-style-type: none"> • Individual Caregiver Training and Education
<ul style="list-style-type: none"> • Residential Supports 	<ul style="list-style-type: none"> • Residential Supports (<i>Comprehensive Only</i>)
<ul style="list-style-type: none"> • Respite 	<ul style="list-style-type: none"> • Respite
<ul style="list-style-type: none"> • Specialized Consultation Services 	<ul style="list-style-type: none"> • Specialized Consultative Services • Behavior Consultation
<ul style="list-style-type: none"> • Supported Employment 	<ul style="list-style-type: none"> • Supported Employment • Long Term Vocational Supports
<ul style="list-style-type: none"> • Not included in Innovations Waiver 	<ul style="list-style-type: none"> • Transportation
<ul style="list-style-type: none"> • Vehicle Modifications 	<ul style="list-style-type: none"> • Vehicle Adaptations

Service/Support Description and Comparison (cont.)

NC Innovations Service/Support CAP-MR/DD Service/Support Service/Support Available in Both

Area Of Comparison	NC Innovations	CAP-MR/DD
Adult Day Health	<ul style="list-style-type: none"> Not included in Innovations Waiver as a separate service, but is included in Day Supports. Not included in NC Innovations because devices are covered under State Plan Technical assistance to Participants in selection and use of Communication Devices is included in the Specialized Consultative Services 	<ul style="list-style-type: none"> Structured day program with nursing supervision/transportation not included
Augmentative Communication	<ul style="list-style-type: none"> Similar service can be provided under Specialized Consultative Services or Crisis Services in NC Innovations Waiver Specialized Consultative Services does not pay for B.A. level consultation 	<ul style="list-style-type: none"> Covers technical assistance provided to participants in selection and use of devices. can only be utilized for items not covered under DME Limit is \$10,000 per waiver year per Participant Will be removed from the waiver 10/31/11
Behavior Consultation		<ul style="list-style-type: none"> Consultation, support, designing behavior intervention programs, training to decrease problem-behaviors to staff and/or family members or primary caregivers Provides 2 levels of staff: licensed psychologist, licensed psychological associate, or licensed social worker for level I and a licensed psychologist for level II Behavioral Consultation does not pay for B.A. level consultation
Community Guide	<ul style="list-style-type: none"> Assists with locating and coordinating community resources Supports Participants who self-direct Does not duplicate Treatment Planning Case Management (Managed Care function that is called Intensive Care Coordination in NC) Not a required service 	<ul style="list-style-type: none"> Not included in CAP-MR/DD Waivers Functions covered under Targeted Case Management in the State Plan or Community Resource Consultant as an administrative function (if self-directing in the Supports Waiver. Will be added to Comprehensive 11/1/11)

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Service/Support Description and Comparison (cont.)

NC Innovations Service/Support CAP-MR/DD Service/Support Service/Support Available in Both

Area of Comparison	NC Innovations	CAP-MR/DD
Community Networking	<ul style="list-style-type: none"> Individualized activities that support participant's definition of a meaningful day in a community setting with non-disabled persons; purpose is to develop natural supports within integrated settings Includes payment for classes and conferences, not to exceed \$1000/year 	<ul style="list-style-type: none"> Similar direct service component is covered under the Community component of Home and Community Supports; limited to 4 hours/day on the same day as Home Supports or Residential Supports Payment for classes and conferences covered under Individual Caregiver Training and Education Individual Caregiver Training and Education is limited to \$1500/year (will be \$1000/yr effective 11/1/11)
Community Transition	<ul style="list-style-type: none"> One time, set-up expenses for adult participants to facilitate transition from Developmental facility, community ICF-MR Group Home; nursing facilities, or licensed residential arrangement to a living arrangement where participant is directly responsible for his/her own living expenses Limited to \$5000/per participant 	<ul style="list-style-type: none"> Will be covered effective 11/1/11 Limited to \$3000 for one time cost.
Crisis Respite Services	<ul style="list-style-type: none"> Similar service available under NC Innovations Crisis Services (respite component of Crisis Services is only authorized for 14 days at a time) 	<ul style="list-style-type: none"> Short term for Participant experiencing crisis Planned use by Participants who are unable to access regular respite services due to behaviors Limited to 30 calendar days per plan year Can be provided by paraprofessionals
Crisis Services	<ul style="list-style-type: none"> Covers Primary Crisis Response by a Qualified Professional Covers Crisis Behavioral Consultation Covers Out of Home Crisis 	<ul style="list-style-type: none"> 1 additional trained paraprofessional to provide services as needed in an acute crisis situation



Service/Support Description and Comparison (cont.)

NC Innovations Service/Support

CAP-MR/DD Service/Support

Service/Support Available in Both

Area Of Comparison	NC Innovations	CAP-MR/DD
Day Supports	<ul style="list-style-type: none"> • Similar definition to CAP-MR/DD Waivers • Different rate and rate structure for Developmental Day • Primarily a group service <p>Pre-vocational services under Day Supports are:</p> <ul style="list-style-type: none"> • Provided to persons not expected to join the general work force or participate in transitional sheltered workshops within one year of service initiation • If compensated, the participant may on average, receive less than 50 percent of minimum wage. • Services include activities that are not directed at teaching job-specific skills but at underlying habilitative goals (e.g. attention span, motor skills, attendance, task completion.) • Prevocational skills development where participants obtain the underlying habilitation skills, required for obtaining a job may be provided in the licensed day support setting. <p>Clarifies vocational services and Day Supports as follows:</p> <ul style="list-style-type: none"> • Day Supports may not be used for the provision of vocational services (e.g. sheltered workshop performed in a facility) • Vocational services which assist participants in learning to perform real jobs are to be provided in community settings and not in licensed facilities 	<ul style="list-style-type: none"> • Similar service definition in NC Innovations
Financial Support Services	<ul style="list-style-type: none"> • Service that distributes funds in Employer of Record Model • Monthly fee structure with one time billing of designated start-up functions • Financial management functions in Agency With Choice Model are performed by the Agency With Choice 	<ul style="list-style-type: none"> • Administrative function with billing by periodic units in the Self-Directed Services/Agency with Choice Component of the Supports Waiver



Service/Support Description and Comparison (cont.)

NC Innovations Service/Support CAP-MR/DD Service/Support Service/Support Available in Both

Area of Comparison	NC Innovations	CAP-MR/DD
Home and Community Supports	<ul style="list-style-type: none"> • Not provided in NC Innovations Waiver. • Similar Services to the following services: <ul style="list-style-type: none"> ○ In-Home Skill Building ○ Personal Care ○ In-Home Intensive Support • Community access as appropriate within the service definitions or within Community Networking service • Participants in residential programs or AFL's may only receive Community Networking Services (similar to the community component of CAP-MR/DD Home and Community Supports • Only Personal Care Service may be provided in staff home 	<ul style="list-style-type: none"> • Habilitative service with elements of support • Participants in residential programs, or AFL's may only receive community component
Home Modifications	<p>Includes these items not covered by CAP-MR/DD:</p> <ul style="list-style-type: none"> • Voice activated, light activated, motor activated electronic devices to control the Participant's home environment • Medically necessary portable hearing and/or cooling adaptations • Stationary built in therapeutic table • Specialized accessibility/safety adaptations includes electrical wiring • Each Waiver covers Alarm/Alert systems; however while CAP-MR/DD covers Alarms/Alert systems under this definition, NC Innovations covers under Assistive Technology Equipment and Supplies = • Limited to \$20,000 over 5 years 	<p>Includes these items not covered by NC Innovations</p> <ul style="list-style-type: none"> • Fences • Video cameras • Bedroom modifications to accommodate hospital beds and/or wheelchairs • Thermostats, shelves, closets, counters, cabinets • Weather protective modifications • Shatterproof windows • Alarm systems/alert systems • Limited to \$15,000 over 3 years (will be over 5 years effective 11/1/11)

Service/Support Description and Comparison (cont.)

NC Innovations Service/Support

CAP-MR/DD Service/Support

Service/Support Available in Both

Area Of Comparison	NC Innovations	CAP-MR/DD
Home Supports	<ul style="list-style-type: none"> Not covered under the NC Innovations waiver. Similar service is In-Home Skill Building, In-Home Intensive Support and Personal Care. 	<ul style="list-style-type: none"> Habilitation and personal care needs of Participant's who choose to live with family and receive supports from family; Not provided by providers outside of the family living in the Participant's home Delivered in daily billing units, using Levels based on SNAP scores and direct contact hours needed Comprehensive Waiver only Will be removed from CAP-MR/DD waiver 10/31/11.
In-Home Skill Building	<ul style="list-style-type: none"> Innovations definition is similar to CAP-MR/DD habilitation component of Home/Community Supports. Services may not be provided in home of the provider staff. 	<ul style="list-style-type: none"> Not covered under the CAP-MR/DD waiver. Similar service is Home and Community Supports.
In-Home Intensive Support	<ul style="list-style-type: none"> Provides intensive supervision and support Focus is on individuals with exceptional medical and behavioral issues as assessed by the SIS Requires a fading plan or a plan to use assistive technology to reduce service 	<ul style="list-style-type: none"> Not covered under CAP-MR/DD
Individual Care-giver Training and Education	<ul style="list-style-type: none"> In the NC Innovations Waiver this service is named Natural Supports Education Natural Supports Education does not include training for the Participant or paid caregivers Natural Supports Education includes training for the natural supports network in addition to the family Participant training, conferences and classes are provided under Community Networking 	<ul style="list-style-type: none"> Training/ counseling for Participant and family members, including conferences and classes; reimburse travel to conferences for Participants Entire services is limited to \$1500 per waiver year per person which includes a maximum of \$1000 for conference registration, classes, and travel
Individual Goods and Services	<ul style="list-style-type: none"> Only available to those who self-direct \$2000 limit per year 	<ul style="list-style-type: none"> Only in Supports Waiver; not in Comprehensive Waiver. Will be covered under Comprehensive Waiver 11/1/11. Only available to those who self-direct \$1,000 annual limit

Service/Support Description and Comparison (cont.)

NC Innovations Service/Support

CAP-MR/DD Service/Support

Service/Support Available in Both

Area of Comparison	NC Innovations	CAP-MR/DD
Long Term Vocational Supports	<ul style="list-style-type: none"> Types of activities the definition covers are included in the NC Innovations Supported Employment definition Not included as a separate definition in the NC Innovations 	<ul style="list-style-type: none"> Assistance with maintaining a job for Participants who no longer need intense level of Supported Employment
Natural Supports Education	<ul style="list-style-type: none"> Training to families and the participant's natural support network Does not include training for paid caregivers Conferences and classes by primary caregiver who is not providing services to the Participant Conference and class limit is \$1,000/year; remainder of service does not have a limit Does not include travel 	<ul style="list-style-type: none"> In CAP-MR/DD, this service is named Individual Caregiver Training and Education Individual Caregiver Training and Education provides services to Participants and Family/Caregivers
Personal Care Services	<ul style="list-style-type: none"> No enhanced level 	<ul style="list-style-type: none"> Standard and Enhanced Levels (enhanced levels removed from waiver 10/31/11) Home Supports (will be removed 10/31/11 from the CAP-MR/DD Waiver.
Personal Emergency Response System	<ul style="list-style-type: none"> Included as part of the Innovations Assistive Technology Definition 	<ul style="list-style-type: none"> Covered

Service/Support Description and Comparison (cont.)

NC Innovations Service/Support

CAP-MR/DD Service/Support

Service/Support Available in Both

Area Of Comparison	NC Innovations	CAP-MR/DD
Residential Supports	<ul style="list-style-type: none"> • Daily levels based on Supports Intensity Scale • Capacity of new facilities entering the network continues to be 3 beds or less (newly constructed, newly licensed) • Capacity of existing facilities is up to 6 beds • Individuals who live in private homes or facilities with 6 or fewer beds may enter the NC Innovations Waiver • Existing and new participants may live in facilities up to 6 beds who are contracted with the PBH provider network • Participants already receiving Residential Supports in the one 8 bed facility may continue to receive Residential Supports • Participants currently receiving NC Innovations Services may continue to live in their current home or facility • Alternative Family Living (AFL) sites where Residential Supports are provided must be the primary residence of the AFL provider (includes couples and single persons) who receive reimbursement for the cost of care. These sites are licensed or unlicensed in accordance with state rule. • Residential Supports is provided in licensed residential settings which demonstrate a home and community character. A home and community environment is characterized as an environment like a home, provides full access to typical facilities in a home such as a kitchen with cooking facilities, small dining areas, provides for privacy, visitors at times convenient to the participant and easy access to resources and activities in the community. Group homes are expected to be located in residential neighborhoods in the community. Meals are served family style and participant's access community activities, employment, schools or day programs. Each facility shall assure to each participant the right to live as normally as possible while receiving care and treatment. 	<ul style="list-style-type: none"> • Provided in facilities 3 beds or less, including AFL's, Foster Homes • Provided in facilities 4-6 beds licensed prior to 11/1/08 that demonstrate a home and community character • Participants living in facilities 7-15 beds who were participating in CAP-MR/DD prior to 2008 waiver implementation may continue to receive residential supports in their current facility, if justified and if facility demonstrates home and community character. No new admissions. • Participants living in facilities 16 + beds on 11/1/08 may continue to receive Residential Supports in • current living arrangement may continue to receive residential supports in their current facility, if justified and if facility demonstrates home and community character. No new admissions. • Daily levels based on NC SNAP score and direct contact hours needed



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Service/Support Description and Comparison (cont.)

NC Innovations Service/Support CAP-MR/DD Service/Support Service/Support Available in Both

Area Of Comparison	NC Innovations	CAP-MR/DD
Respite Care	<ul style="list-style-type: none"> • Provides for Standard, Nursing, Facility Based Levels of Service • No enhanced respite 	<ul style="list-style-type: none"> • Provides for Standard, Enhanced, Nursing, Facility Based, Institutional Levels of Service (Enhanced Respite and Nursing Respite removed from waiver 10/31/11)
Specialized Consultative Services	<ul style="list-style-type: none"> • Service may be provided though Tele Consultation • No fiscal limit • Includes augmentative communication consultation 	<ul style="list-style-type: none"> • Similar to NC Innovations Service • Limited to \$1500 per waiver year
Specialized Equipment and Supplies	<ul style="list-style-type: none"> • Named Assistive Technology Equipment and Supplies in NC Innovations 	<ul style="list-style-type: none"> • Similar to NC Innovations Assistive Technology Equipment and Supplies
Supported Employment	<ul style="list-style-type: none"> • Includes most activities covered by the CAP-MR/DD Supported Employment and Long Term Vocational Supports 	<ul style="list-style-type: none"> • Supported Employment Group
Transportation	<ul style="list-style-type: none"> • Not included in Innovations Waiver. • Innovations Waiver direct services include transportation. • Community Guide can assist with locating community resources 	<ul style="list-style-type: none"> • Offered to enable Participants to gain access to waiver/ community services. • Limited to \$2000/waiver year
Vehicle Adaptations	<ul style="list-style-type: none"> • Innovations Definition is similar to CAP-MR/DD Waiver definition • Limited to \$20,000 over five years 	<ul style="list-style-type: none"> • Limited to \$15,000 over three years



Other Waiver Comparisons

Area Of Comparison	NC Innovations	CAP-MR/DD
Waiver Structure	Comprehensive Waiver concurrent with 1915 (b) Waiver	Two Waivers: Comprehensive and Support
Participant Direction Opportunities	<p>Includes two models of self-direction: 1-Employer of Record and 2-Agency With Choice.</p> <p>Self-directed services are:</p> <ul style="list-style-type: none"> • Community Guide; • In-Home Skill Building • Personal Care • In-Home Intensive Supports; • Individual Goods and Services; • Natural Supports Education; • Respite; • Supported Employment <p>In the Agency with Choice Model:</p> <ul style="list-style-type: none"> • Agency With Choice is paid out of the service rate and performs financial management functions 	<p>Comprehensive: Participant direction available 11/1/11 Supports Waiver: Includes one model of Participant Directed services</p> <p>Participant Directed model includes:</p> <ul style="list-style-type: none"> • Agency With Choice Option only that is different from the NC Innovations Agency With Choice model <p>Self-directed services:</p> <ul style="list-style-type: none"> • Personal Care • Respite • Transportation • Individual Caregiver Training and Education • Home and Community Supports • Individual Goods and Services
	<ul style="list-style-type: none"> • No separate Financial Management Agency • Agency With Choice carries Workers Compensation Insurance on employees • The Agency With Choice determines how pay rates are set and performs QP functions <p>In the Employer of Record Model:</p> <ul style="list-style-type: none"> • Workers Compensation Insurance is required • Financial Support Services is a service not an administrative activity and is required for all participants in the Employer of Record Model • Employers of Record determine pay rates/benefits • Rule waiver allows the Employer of Record to supervise staff/oversee programming 	

Other Waiver Comparisons (cont.)

Area Of Comparison	NC Innovations	CAP-MR/DD
<p>Relative as Direct Support Employee (Provider) <i>(All waivers prohibit services by parents and step-parents of children and spouses)</i></p>	<ul style="list-style-type: none"> • Employer may not provide self-directed services • Relative or legal guardians living in household of the adult Participant may provide Community Networking; Day Supports; In-Home Skill Building, In-Home Intensive Support, Personal Care and Residential Supports • Typically relatives or legal guardians may only provide 40 hours/week or 7 daily units among all relatives/guardians providing services in the household; additional hours may be approved by PBH under specified circumstances • Provider agency or Employer of Record must request approval from PBH prior to use of relative or legal guardian residing in the Participant's household • Provider Agencies and Employers are required to monitor services monthly 	<p>Comprehensive Waiver:</p> <ul style="list-style-type: none"> • Parents of Adult Participants may only provide Home Supports (Home Supports being removed from waiver 10/31/11. As of 11/1/11, they may provide Home and Community Supports and Personal Care, not to exceed 40 hours per week.) • General Guardians and Guardians of the Estate may not provide services to the Participant • Guardians of the Person may provide services, requires LME review <p>Supports Waiver:</p> <ul style="list-style-type: none"> • Parents of Adult Participants and relatives living in the home of Participant may not provide waiver services to the Participant. As of 11/1/11 Home Supports will be removed as a service and parents will be able to provide Home and Community Supports and Personal Care
		<ul style="list-style-type: none"> • General Guardians/Guardians of the Estate may not provide services • Guardians of the Person may provide services, requires LME review

Other Waiver Comparisons (cont.)

Area Of Comparison	NC Innovations	CAP-MR/DD
Limits on Sets of Services	<ul style="list-style-type: none"> Adults or Children (non-school days) receiving Residential Supports may receive no more than 40 hours/week of any combination of Community Networking, Day Supports, and/or Supported Employment Children receiving Residential Supports during school year may receive no more than 20 hours/week of any combination of Community Networking, Day Supports, and/or Supported Employment Adults in private homes or children in private homes on non-school days may receive no more than 84 hours/week of any combination of In-Home Intensive, In-Home Skill Building, Personal Care, Community Networking, Day Supports and/or Supported Employment Children in private homes on school days may not receive more than 54 hours/week of any combination of In-Home Intensive, In-Home Skill Building, Personal Care, Community Networking, Day Supports and/or Supported Employment 	<p>Comprehensive Waiver: Funding over \$100,000 requires review by DMH/DD/SAS; cost summary may not exceed \$135,000 of waiver services.</p> <p>Supports Waiver: Cost summary may not exceed \$17,500 of wavier services</p> <p>Maximum allowable combination of habilitative services is 129 hours per month. As of waiver renewal this will be for adults and children who are on school break and children will be limited to 20 hours per</p>
	<ul style="list-style-type: none"> Participants in private homes with intensive support needs may receive up to additional 12 hours/day of intensive night supports with approval of Medical Director for up to 6 months. 	
Service Plan	Individual Support Plan and Individual Budget	Person Centered Plan and Cost Summary
Individual Budget and Cost Limits	<ul style="list-style-type: none"> All participants have an Individual Budget: \$135,000 Cost Limit Base budget includes most direct services Equipment/preventive services are add ons but included in the \$135,000 Cost Limit Implement Support Needs Matrix system for a standardized system for waiver funding based on both the level of supports need of the person and their current living arrangement. This system will be phased in over a period of time. 	<p>Supports Waiver: Participants who are self-directing services receive an individual budget based on Person Centered Plan and supported by assessments. It cannot exceed \$17,500/year</p> <p>Comprehensive Waiver: \$135,000 Cost Limit</p>